

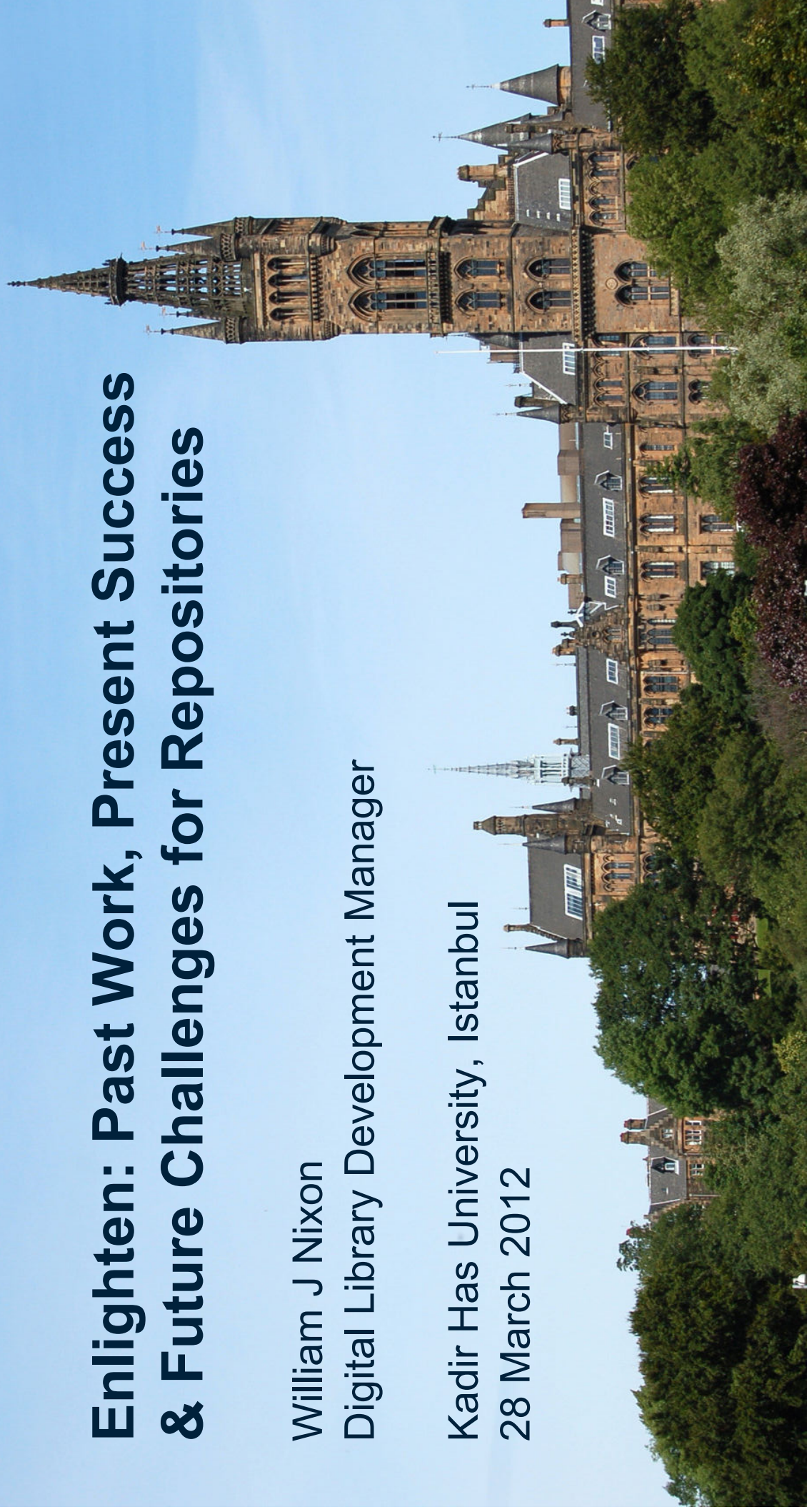


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# Enlighten: Past Work, Present Success & Future Challenges for Repositories

William J Nixon  
Digital Library Development Manager

Kadir Has University, Istanbul  
28 March 2012





# Glasgow, Scotland and Open Access

- 14 Universities
- Scottish OA Declaration - 2004
  - ‘We believe that the interests of Scotland will be best served by the rapid adoption of open access to scientific and research literature.’
- Range of Repositories
  - EPrints
  - DSpace
  - Digital Commons







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# University of Glasgow

- Founded in 1451
- 2<sup>nd</sup> oldest University in Scotland (4<sup>th</sup> UK)
- 6,000+ Staff
  - 2000 Researchers
- 25,000 Students
  - 16,000 Undergraduates
  - 5,000 Postgraduates
  - 5,000 Adult learners
- In the top 1% of universities in the world
- In the UK's top 10 earners for research
- Publications Policy 2008





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# Budapest Open Access Initiative - 10 Years

## Budapest Open Access Initiative

### Budapest Open Access Initiative

The Budapest Open Access Initiative arises from a small but lively meeting convened in Budapest by the Open Society Institute (OSI) on December 1-2, 2001. The purpose of the meeting was to accelerate progress in the international effort to make research articles in all academic fields freely available on the internet. The participants represented many points of view, many academic disciplines, and many nations, and had experience with many of the ongoing initiatives that make up the open access movement. In Budapest they explored how the separate initiatives could work together to achieve broader, deeper, and faster success. They explored the most effective and affordable strategies for serving the interests of research, researchers, and the institutions and societies that support research. Finally, they explored how OSI and other foundations could use their resources most productively to aid the transition to open access and to make open-access publishing economically self-sustaining. The result is the Budapest Open Access Initiative. It is at once a statement of principle, a statement of strategy, and a statement of commitment.

The initiative has been signed by the Budapest participants and a growing number of individuals and organizations from around the world who represent researchers, universities, laboratories, libraries, foundations, journals, publishers, learned societies, and kindred open-access initiatives. We invite the signatures, support, and participation of the entire world scientific and scholarly community.



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6201 signatures have been added to the initiative.

"An old tradition and a new technology have converged to make possible an unprecedented public good."

# Institutional Drivers for Open Access

- **Research Funders**
  - The Wellcome Trust: “supports unrestricted access to the published output of research as a fundamental part of its charitable mission and a public benefit to be encouraged wherever possible. Specifically, the Wellcome Trust: expects authors of research papers to maximise the opportunities to make their results available for free”
  - Research Councils UK: “Ideas and knowledge derived from publicly-funded research must be made available and accessible for public use, interrogation and scrutiny, as widely, rapidly and effectively as practicable”
- **Visibility and Impact**
  - Some studies have shown a citation advantage for those articles which are freely accessible
- **Management information**
  - Knowing what your researchers are doing!



# Start Grow Help News Events

## Before you start

Setting up a repository  
Policies & legal issues  
Resourcing

## Advocacy

Initial content  
Registration  
Optimisation  
Measuring success  
Embedding


## Contact us

RSP wiki  
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Buddy scheme  
Creative Arts

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RSP news archive  
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## Future events

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## What is a repository?

A digital repository is a mechanism for managing and storing digital content. Repositories can be subject or institutional in their focus. Putting content into an institutional repository enables staff and institutions to manage and preserve it, and therefore derive maximum value from it. A repository can support research, learning, and administrative processes. Repositories use open standards to ensure that the content they contain is accessible in that it can be searched and retrieved for later use. The use of these agreed international standards allows mechanisms to be set up which import, export, identify, store and retrieve the digital content within the repository.

Digital repositories may include a wide range of content for a variety of purposes and users. What goes into a repository is currently less an issue of technological or software ability, and more a policy decision made by each institution or administrator. Typically content can include research outputs such as journal articles or research data, e-theses, e-learning objects and teaching materials, and administrative data. Some repositories only take in particular items (such as theses or journal papers), whilst others seek to gather any credible scholarly work produced by the institution; limited only by each author's retained rights from publishers. However, some more complex objects (websites, advanced learning objects, 3D topographical representations and other data sets) do present a technological challenge.

Find out more from the [JISC Digital Repositories infoKit](#) 

## Contact Us

### Before you start

▶ What is a repository?  
Open access  
Benefits  
Changing the culture  
Planning checklist

### Future events

Scholarly  
Communications: New  
Developments in Open  
Access

### From the blog

Workshop report part one:  
meeting the disciplinary  
challenges in research  
data management  
planning  
Japan and UK in  
agreement

Green mandates and gold  
choices



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# Institutional Repository Silos





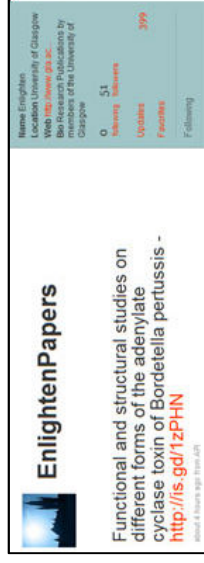
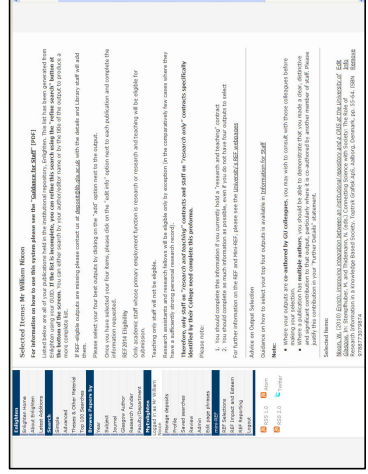
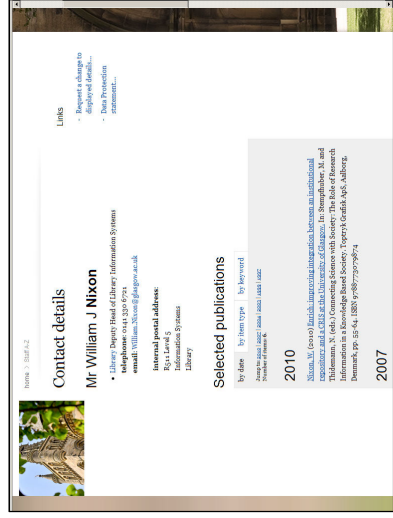
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# Beyond Silos: Embedded Repositories



Ref2014

Project ID: 51357 (04.4.2014)																						
Title: Enrich - Enrichment and Research System Integration - Research Information Lifecycle																						
Project Summary																						
Project Lead: Ms Sharon Adworth																						
Project People: Mr William Nixon, Ms Valerie McCutcheon																						
Keywords:																						
Applications and Awards																						
App ID	Funder	Type	Start Date	Date Sent	Outcome	Status	Del App	No	Select	1	98120	Award										
Actions																						
Type			Activity Type		Status		Date Due															
application			PARAPRV		Completed		27/03/2009															
INFO			Completed		Completed		17/03/2009															
application			INFO		Completed		27/03/2009															
Ethics																						
Abstract																						
Date Created: 02/02/2009 17:08:06 Created By: Miss Valerie McCutcheon																						
Project Updated: 18/02/2009 15:42:28 Updated By: Miss Valerie McCutcheon																						







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# Repositories: Juggling Agendas



REF2014

# Enlighten

Research publications by members of the University of Glasgow

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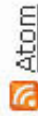
- peer-reviewed journal articles
- published conference papers
- books and book chapters

There are many benefits to depositing your research in Enlighten [find out more ...](#)

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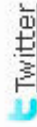
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Type the keyword(s) for published papers you want to find.

For example:

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- ancient lothian

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## UNIVERSITY PUBLICATIONS POLICY

At the meeting of Senate on 5th June 2008 a new University Publications Policy was approved. The key aim of the new policy is to maximise the impact of the University's research by making publications as widely and freely available as possible through the University's institutional repository Enlighten. The policy will apply from the beginning of the 2008/2009 academic session.

## KEY INFORMATION FOR GLASGOW AUTHORS

Enlighten also provides University of Glasgow authors with advice on how to deposit papers in the service and information on related issues such as open access publishing and complying with funding body open access policies.

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## Publications Policy

Approved by Senate on 5 June 2008

### Introduction

The University's ambition as stated in its strategic plan, "Building on Excellence" and Research Strategy 2006-2010 is be one of the world's Top 50 research intensive universities.

In 2006 the Government announced its intention to replace the existing RAE with the Research Excellence Framework (REF), an assessment based on metrics, including bibliometrics in the form of citations to papers. HEFCE states that:

'The REF will consist of a single unified framework for the funding and assessment of research across all subjects. It will make greater use of quantitative indicators in the assessment of research quality than the present system, while taking account of key differences between the different disciplines. Assessment will combine quantitative indicators - including bibliometric indicators wherever these are appropriate - and light-touch expert review. Which of these elements are employed, and the balance between them, will vary as appropriate to each subject.'

[1]

Following a recent consultation HEFCE have decided that future assessments will include some form of metrics-based assessment, including bibliometrics, as well as peer review for all (not just STEM) subjects.

The University recognises that research publications, as one of the main outputs of research, are a key asset. As such, they should be managed in a way that ensures that they provide maximum value both to individual members of the academic community, whose research output they represent, and collectively to the institution. The academic community has an essential role to play in the generation and publication of research publications while the University has an important role to play both to support and assist academic staff, and as the curator of the assets.

### Objectives

The objectives of this policy are:

- To increase the visibility of research publications produced by staff employed by or associated with the University of Glasgow
- To ensure that research outputs are prepared and curated in a way which helps maximise the value that they have for the university in terms of the external use of bibliometric data e.g. league tables, post-2008 RAE

### Approach

1. The University will maximise the impact of University publications in bibliometric analyses by ensuring that all research outputs are accurately recorded as being produced by University of Glasgow staff. There is some lack of consistency in the addresses used by authors.

The following addresses are examples of this. Taken from the Web of Science, one of the preferred bibliographic tools for the REF, these addresses appear on recent publications by University academic staff:



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## Corrosion studies and lead isotope analyses of musket balls from Scottish battlefield sites

Hall, Allan, Ellam, Robert , Wilson, L., Pollard, Tony , and Ferguson, N. (2011) *Corrosion studies and lead isotope analyses of musket balls from Scottish battlefield sites*. In: Turbanti-Memmi, I. (ed.) *Proceedings of the 37th International Symposium on Archaeometry*, 13th - 16th May 2008, Siena, Italy. Springer, pp. 591-597. ISBN 9783642140770



PDF

280Kb

<b>Item Type:</b>	Book Section
<b>Status:</b>	Published
<b>Refereed:</b>	Yes
<b>Glasgow Author(s):</b>	Ellam, Prof Robert and Pollard, Dr Tony and Hall, Dr Allan
<b>Authors:</b>	Hall, Allan, Ellam, Robert , Wilson, L., Pollard, Tony , and Ferguson, N.
<b>Subjects:</b>	G Geography. Anthropology. Recreation > GE Environmental Sciences
<b>College/School:</b>	College of Science and Engineering > Scottish Universities Environmental Research Centre
<b>Publisher:</b>	Springer

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## Gaelic place-names and the social history of Gaelic speakers in medieval Menteith

McNiven, Peter Edward (2011) *Gaelic place-names and the social history of Gaelic speakers in medieval Menteith*. PhD thesis, University of Glasgow.

Full text available as:



PDF - Requires a PDF viewer such as [GSview](#), [Xpdf](#) or [Adobe Acrobat Reader](#)  
3181 Kb

### Abstract

This thesis illustrates that place-names are an essential resource for our understanding of Scottish medieval rural society, with a particular emphasis on Menteith. Place-names are an under-utilised resource in historical studies, and yet have much to inform the historian or archaeologist of how people used and viewed the medieval landscape. We know a great deal of the upper echelons of Scottish medieval society, especially the politics, battles, and lives of significant figures, such as various kings and great barons. However, we know next to nothing of the people from whom the nobility derived their power. The thesis is divided into two parts. Part 1 begins by defining the extent and geography of the medieval earldom of Menteith. The source material is analysed, highlighting the advantages and pitfalls of different sources that can be used for place-name studies. The different languages spoken in Menteith in the Middle Ages, ranging from P-Celtic to Scottish Gaelic to Scots, can be seen in the onomastic evidence. A crucial question that is explored, if not fully answered, is 'what P-Celtic language was spoken in Menteith: British or Pictish?'. This is followed by an exploration of what we know of the Gaelic language in Menteith. Documents and place-names allow us to pinpoint the beginnings of the change from Gaelic to Scots as the naming language in the area to the later 15th C. A brief survey of the historical background shows the influence the earls of Menteith and other nobles may have had on the languages of the earldom. The final two chapters of Part 1 look at the issue of using place-names as a historical resource; Chapter 5 explores secular activities, such as hunting and agriculture. Chapter 6 is a case study examining how place-names can inform us of the medieval church. Part 2 is a survey of the place-names of the six parishes that consisted of the medieval earldom of Menteith, including early forms and analysis of the names.

Item Type:	Thesis (PhD)
Qualification Level:	Doctoral
Keywords:	Menteith, place-names, social history
Subjects:	<a href="#">P Language and Literature</a> > <a href="#">PB Modern European Languages</a> > <a href="#">PB1501 Gaelic (Scottish Gaelic, Erse)</a> <a href="#">D History General and Old World</a> > <a href="#">DA Great Britain</a>
Faculty and Department:	<a href="#">Arts</a> > <a href="#">Department of Celtic</a>
Supervisor:	Clancy, Prof. Thomas Owen
Date of Award:	2011
Printed Thesis Information:	<a href="http://eleanor.lib.gla.ac.uk/record=b2870980">http://eleanor.lib.gla.ac.uk/record=b2870980</a>
Unique ID:	glathesis:2011-2685
Copyright:	Copyright of this thesis is held by the author.
Deposited On:	29 June 2011

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## Prof Miles Padgett

Profile

Publications

Supervision

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Number of items: **238**.

### 2011

[Bowman, R.](#), [Muller, N.](#), [Zambrana-Puyalto, X.](#), [Jedrzkiewicz, O.](#), [Trapani, P.](#), and [Padgett, M.](#) (2011) *Efficient generation of Bessel beam arrays by means of an SLM*. *European Physical Journal Special Topics*, 199 (1). pp. 159-166. ISSN 1951-6355 (doi: [10.1140/epjst/e2011-01511-3](#))

[Preece, D.](#), [Warren, R.](#), [Evans, R.M.L.](#), [Gibson, G.M.](#), [Padgett, M.J.](#), [Cooper, J.](#), and [Tassieri, M.](#) (2011) *Optical tweezers: wideband microrheology*. *Journal of Optics*, 13 (4). 04402. ISSN 2040-8978 (doi: [10.1088/2040-8978/13/4/044022](#))

[Berkhout, G.C.G.](#), [Lavery, M.](#), [Padgett, M.](#), and [Beijersbergen, M.W.](#) (2011) *Measuring orbital angular*





## How we measure success

- Positive reactions and support from University management, academic staff and Heads of Department for Enlighten (“How” not “Why”)
- Continued growth in records (45K+ records)
- Continued growth in downloads (165K in 2011)
- Embedded in University’s preparation for national research assessment (REF) exercise
- A Key Performance Indicator (KPI) for International Excellence in Research within University’s strategy
- Publications Policy is a key platform for realising the advantages of Open Access and its impact



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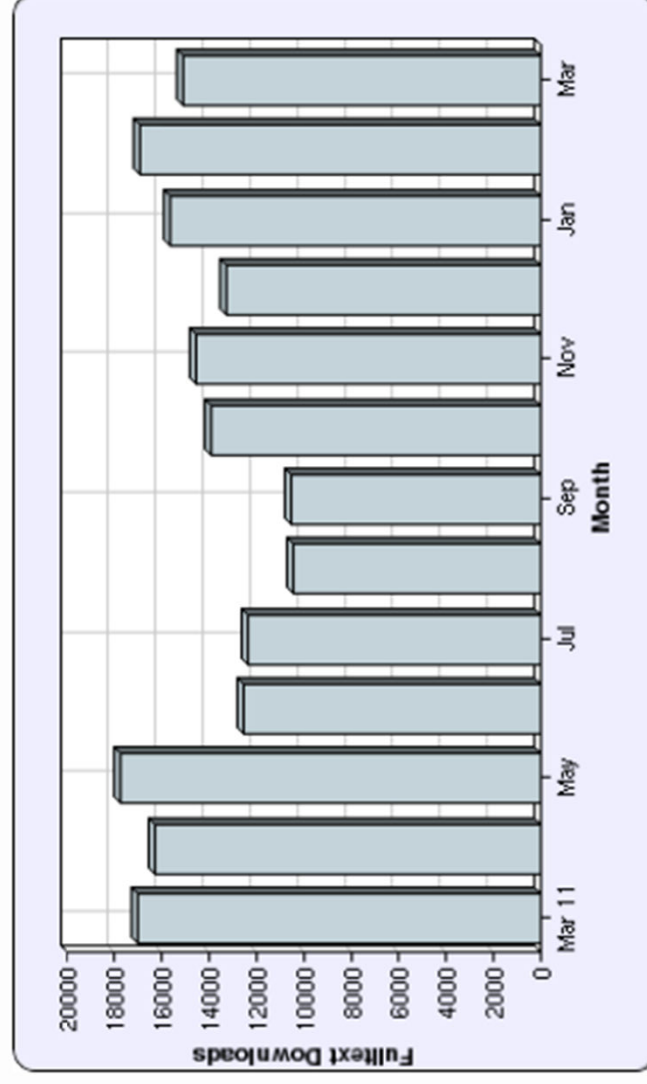
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Total downloads by month



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Downloads

Fearnley, S., Beattie, V., and Brandt, R. (2005) Auditor independence and audit risk: a reconceptualisation. *Journal of International Accounting Research*, 4 (1). pp. 39-71. ISSN 1542-6297

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# SPARC EUROPE

Advocating change in  
scholarly communications  
for the benefit of  
researchers and society

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## Latest news

**13 March, 2012**

Two new directors, Alma Swan and Lars Bjørnshauge, have been contracted to work with European advocacy and library relations. A new Programme Officer, Janna Wellander, has also been recruited to give as much assistance as possible to members for the advancement of Open Access in Europe.

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## Hot topics

Your single source of news and views on open scholarship from across the community.

[Open access](#)  
[Institutional repositories](#)  
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[Preservation](#)  
[Publishing economics](#)  
[Usage and citations](#)

## Events and meetings

**28 - 30 Mar 2012**[Reykjavík Digital Freedoms](#)

[The PKP \(EN\) Europe Network for the benefit of individual and library OA publishers](#)

[SPARC Europe receives Ghent Declaration from the OpenAIRE Review Group](#)

## Our work

SPARC Europe is an alliance of European research libraries, library organisations and research institutions. We provide a voice for the community, and the support and tools it needs in order to bring about positive change to the system of scholarly communications.

[Discover more...](#)

## Join SPARC Europe

Join SPARC Europe and become part of a new wave of thinking in scholarly communications. Your support is vital in enabling us to continue our work in challenging the status quo and encouraging the exploration of new ways of sharing and distributing knowledge.

[Discover more...](#)**Linked in****twitter**[Watch the OAI7 intro video by Paul Ayris](#)[Watch Wouter Schallier, LIBER Executive Director, speak about OAI7](#)

## Open Scholarship

Open access and the development of institutional repositories are transforming scholarship and bringing new opportunities and challenges for researchers, librarians and

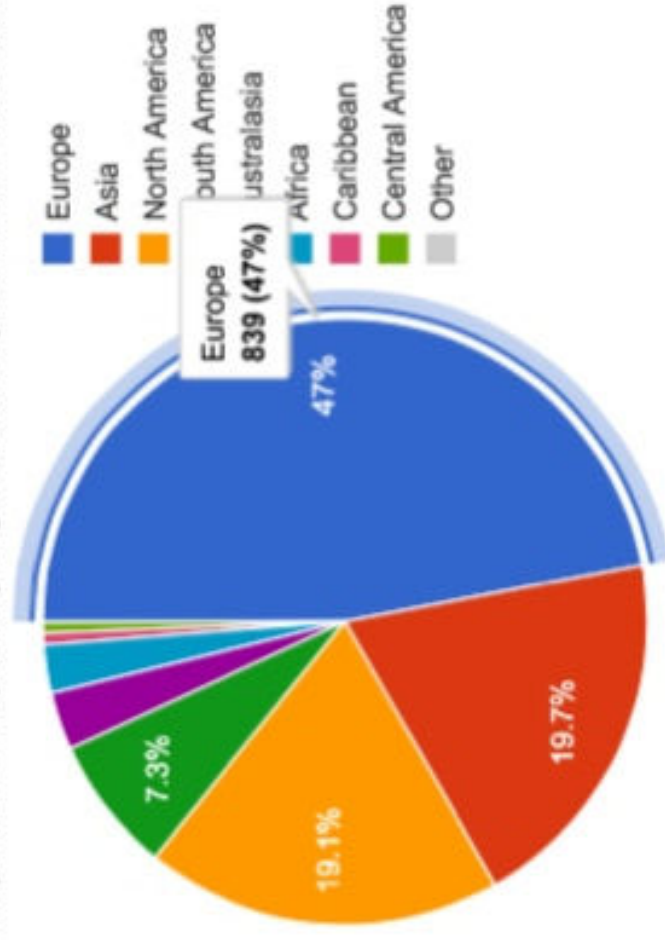




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# Repositories in Europe - OpenDOAR

Proportion of Repository Organisations by Continent - Worldwide



Total = 1785 organisations

OpenDOAR - 26-Mar-2012









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## Usage Statistics from repositories

The most widely used and leading quantitative measurement of impact on the scholarly communication is the use of citation data. In recent years, with the evolution of institutional and thematic repositories and the advancement of OA practices, **usage statistics** is emerging as an additional indication of the assessment in the scholarly landscape.



OpenAIRE is harvesting usage data from repositories in an effort to produce metrics that will lead to an alternative way of assessing of FP7 OA publications. To ensure for maximum comparability of the statistics, the OpenAIRE Guidelines for Usage Statistics are in alignment with recent national initiatives in the UK ([UK JISC PIRUS2](#)), the Netherlands ([SURF SURE](#)) and Germany ([OA-Statistik](#)), all participating in the [Knowledge Exchange Group on Usage statistics](#).



Contact us [✉](#) to learn how to join the effort and contribute with your repository's data.

A brief introduction is presented in the following sections, but you can also download the [OpenAIRE Guidelines for Usage Statistics v1.0](#) to find detailed information on how to participate in this effort.

[Expand all](#) | [Collapse all](#)

▶ 1 - What does usage statistics provides for stakeholder groups?

▶ 2 - Why are usage statistics important?

▶ 3 - How can your repository participate?

▶ 4 - How is aggregating performed?



# OpenAIRE

Open Access Infrastructure for Research in Europe

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## Paving the way to an open scientific information space: OpenAIREplus – linking peer-reviewed literature to associated data.

15 DECEMBER 2011



6

OpenAIREplus (2nd Generation of Open Access Infrastructure for Research in Europe) was launched in Pisa in early December. The 30 month project, funded by the EC 7th Framework Programme, will work in tandem with OpenAIRE, extending the mission further to facilitate access to the entire Open Access scientific production of the European Research Area, providing cross-links from publications to data and funding schemes. This large-scale project brings together 41 pan-European partners, including three cross-disciplinary research communities.

The project will capitalise on the successful efforts of the OpenAIRE project which is rapidly moving from implementing the EU Open Access Pilot project into a service phase, enabling researchers to deposit their FP7 and ERA funded research publications into Open Access repositories. The current publication repository networks will be expanded to attract data providers from domain specific scientific areas. "The participatory design of OpenAIREplus will seamlessly guide the researcher to Open Access research data. The experienced consortium will pave the way to support the research work of European scientists and open up the road to multi-disciplinary science" says Dr. Norbert Lossau, Scientific Coordinator of OpenAIREplus and Director of Göttingen State and University Library, Germany.

Creating a robust, participatory service for the cross-linking of peer-reviewed scientific publications and associated datasets is the principal goal of OpenAIREplus. As scholarly communication touches upon many disciplines, the project's horizontal outreach will facilitate collaboration across data infrastructures, providing information to scientists, non-scientists as well as to providers of value-added services. The project will establish an e-Infrastructure to harvest, enrich and store the metadata of Open Access scientific datasets. Innovative underlying technical structures will be deployed to support the management of and inter-linking between associated scientific data.

Access to and deposit of linked publications via the OpenAIRE portal will be supported by a Help Desk, and OpenAIRE's collaborative networking structure will be extended to promote the concept of open enhanced publications among user communities. Liaison offices in each of the project's 31 European countries work to support the needs of researchers in Europe. The project will also actively leverage its international connections to



# The European Organisation for International Research Information

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## Operational Work Plan for the CRIS-IR Task Group

### General objective of the Task Group:

*"To work out an optimal solution for the interoperability of Research Information Systems on the one hand and Institutional Repositories on the other, on a European scale, taking into account all relevant aspects."*

### Concrete tasks:

1. Discussing and defining principles, policies and theoretical frameworks for the optimal organisation/interoperability of CRIS-OAR (the "philosophical" part of the job).
2. Determine and define the optimal set of metadata for a "CRIS-driven" repository.
3. Define a CERIF-XML based standard European interoperability format for CRIS-IR.
4. Work out technical models/proposals for optimal interoperability (integration, cooperation) of CRIS and IR. (An example of a concrete and practical activity here could be the issue of author identification, investigating the two main approaches: Digital Author/Person Identifiers vs. advanced person disambiguation techniques based on available metadata.)
5. Define the consequences of the previous points for CERIF and communicate this in the form of action points to the CERIF Task Group.
6. Work out models/proposals for optimal organisation of workflows and management activities related to CRIS-IR.
7. Development of use cases for CRIS-IR interoperability. Ambitious use cases could be useful in identifying potential ways forward.
8. Communicating within euroCRIS good practices in current real-life cases of interoperability between CRIS and repositories.
9. Actively promoting the cooperation between the CRIS and OAR communities.

### Proposed actions for the near future.

[+] expand all / [-] Collapse all

1. Start of the discussion within the TG concerning the optimal set of metadata for a

## ROME DECLARATION on CRIS and OAR

(joint declaration by the CRIS and Repository Communities)

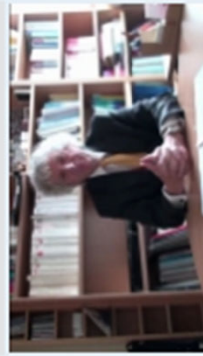
### PRODUCTS OF EUROCRIS

- > CERIF Datamodel
- > Seminar Reports

### DISCUSSION FORUMS

- > CRIS aspects in general

### EUROCRIS VIDEOS



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*Greater visibility and application of research through  
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## About COAR

COAR, the Confederation of Open Access Repositories, is a young association of repository initiatives launched in October 2009, uniting over 80 institutions in 24 countries from throughout Europe, Latin America, Asia, and North America. Its mission is to enhance greater visibility and application of research outputs through global networks of Open Access digital repositories.



COAR promotes infrastructure interoperability and a joint global data store of Open Access repositories to enable and support the re-use of data by service and portal providers. Currently, COAR has [three working groups](#), each with its own set of responsibilities, objectives, and related activities.

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## We invite you to join COAR!

Joining COAR at the early stage of its development means that you will be able to

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### COAR Interoperability Project

We welcome you to get involved with the [COAR Interoperability Project](#) and to [join the ongoing discussion](#).

**Maximizing the visibility of research outputs: COAR call for action**

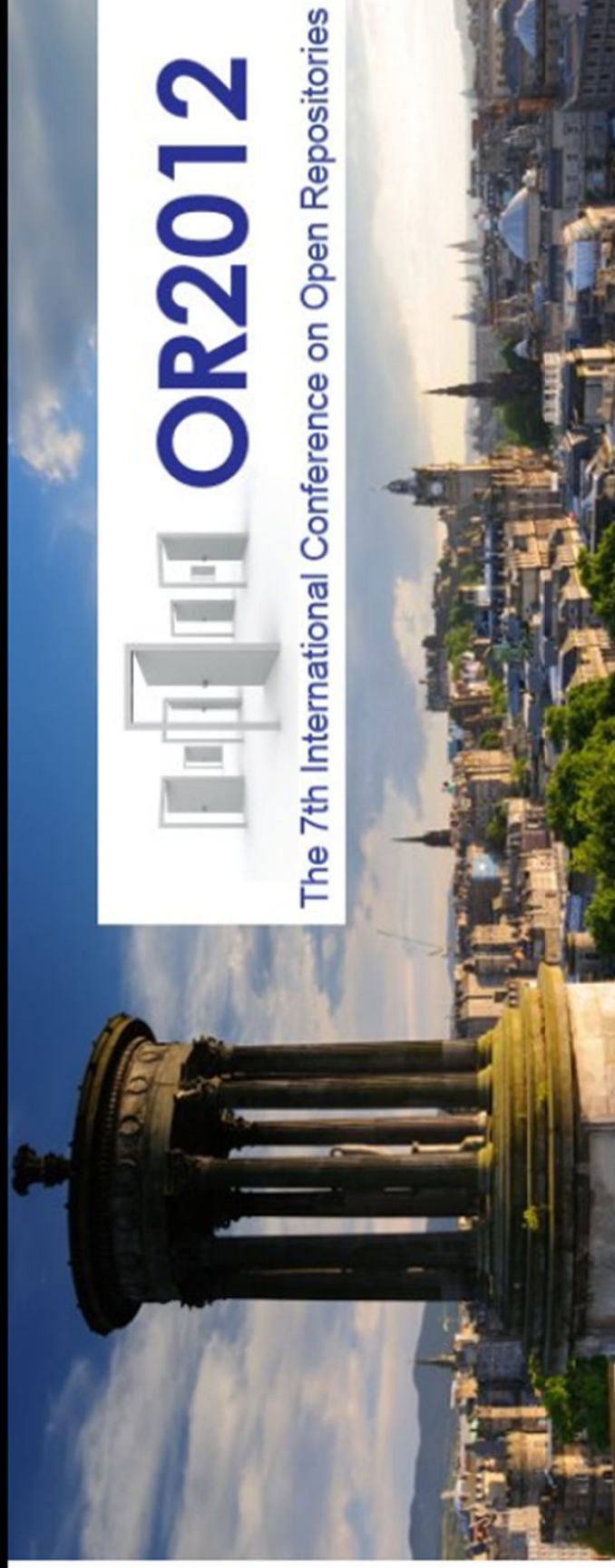
COAR sends an open letter as public response to Elsevier's recent activities aimed at blocking the implementation of open access policies. [Read more...](#)

**Statement as Response to US White House Office of Science and Technology Policy (OSTP) Consultation**



## Lessons

- Advocacy, advocacy, advocacy
  - Repeat your message to management and academic colleagues
- Relationships
  - Build and maintain good relationships with key people in the University and gain their support – and demonstrate value
- Different needs
  - Respect and accommodate different disciplines and their distinct academic requirements
- External influences
  - Use the work and decisions made by other institutions/funders to influence local change
- Systems and processes
  - Understand the research management requirements of the University and align with them e.g. Performance Review



# OR2012

The 7th International Conference on Open Repositories

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## Dates

The Seventh International Conference on Open Repositories (OR2012) will be held at the University of Edinburgh, from Monday 9th to Friday 13th July, 2012. The [Call for Proposals](#) is now available and the Key Dates are as follows:


[Add comments](#)

8th March 2012      Deadline for papers, workshops & user group sessions

Mid-Late March 2012 Delegate registration opens.

31st March 2012      Deadline for posters and demos

6th April 2012      workshop/paper submitters notified

11th May 2012      Poster/demo submitters notified

9th July 2012      Conference pre-workshops begin

## Recent Updates

- Submit your Posters, Pecha Kuchas and Demos!

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